ACCOUNT SERVICES FORM



Please complete all applicable sections. Sections 1, 2, and 11 must be completed. Sections 3 through 10 only need to be completed as they are applicable to the changes that you wish to make to your account(s). Requested changes will not be made unless all registered owners have signed in section 11. If changes are made to bank information/wire instructions (section 8), a signature guarantee will be required in section 11. If you add the ACH privilege to your account (section 10), a signature guarantee will be required in section 11.

P.O. Box 534444 Pittsburgh, PA 15253-4444

1 - Service Selection (require	ed)				
Please check the service(s) you	would like to change or ad	ld to your accoun	t:		
☐ Address or telephone number ☐ E-mail address (section 4) ☐ Financial advisor/duplicate : ☐ Telephone exchange/redemp	statement (section 5)		□ Ban □ Auto	cribution options (section 7) k information/wire instructions (section omatic investment plan (section 9) ctronic funds transfer (ACH) privilege (s	
2 - Applicable Account(s) (red	quired)				
Fund No. 40 41	Fund Name Firsthand Alternative Ene Firsthand Technology Op			Account Number	
3 - Change of Address or Tele	phone Number (please p	print in CAPITAL	letters and compl	lete both sections)	
OLD information			NEW Informa	ition	
Name on account			Name on accor	unt	
Address line 1			Address line 1		
Address line 2			Address line 2		
City	State	ZIP	City	State	ZIP
Daytime telephone number			Daytime telepl	hone number	
Evening telephone number			Evening teleph	none number	
NOTE: If you wish t	o redeem shares within 30	days of making	a name or address c	hange, a signature guarantee will be requ	nired.
4 - Change of e-Mail Address					
OLD e-mail address			NEW e-mail a	address	

0718 Account Services Form

5 - Add/Delete Financial A	Adviser/Duplicate Statemen	nt			
Please ADD duplicate statements to:			Please CANCEL duplicate statements to:		
Name on account Address line 1			Name on account		
			Address line 1		
Address line 2			Address line 2		
City	State	ZIP	City	State	ZIP
Daytime telephone number	:		Daytime telephone no	umber	
Evening telephone number			Evening telephone nu	ımber	
6 - Change Telephone Ex	change/Redemption Privile	ege			
privilege on your new according the applicable box below. I/We DO want the telep		spectus for limitati privilege		s unless you specifically elected sh to change your original elec	
7 - Change in Distribution	n Options				
Pay all dividends by A	s into the Fund(s) from which ACH to the account holder. heck to the account holder.		APITAL GAINS Reinvest all capital gains is Pay all capital gains by All Pay all capital gains by characteristics.		y are paid.
If you elect to receive dis	stributions via ACH, you m	nust complete Sec	tion 10 of this form and ob	otain a signature guarantee.	
8 - Add/Change Bank Info	ormation/Wire Instructions				
shareholder of that account information/wire instructio count. In order to send rede proceeds wired to you, but that you currently have on attach a voided check or p	r, you MUST provide a signar ns on that account will be me emption proceeds by wire, we you did not provide wire installed file with us, please provide the	ature guarantee in nade. A signature g we must have wire structions on your he new wire instru the account. Plea	section 11 of this form. Wit uarantee is required to ADE instructions in writing. If yo original account application ction below. Note: the indic se include all information re	that includes a person who is not hout a signature guarantee, no of bank information/wire instructure would like the option of having, or would like to change the wated bank should be a commercequested below. If this is a broken	changes to bank tions to any ac- ng redemption rire instructions tial bank. Please
I/We authorize the use of a	utomated cash transfers (wir	res) to:			
Name of financial institution	n		Account number		
City	State	ZIP	Name(s) on account		
Financial institution's ABA	A routing number	<u>ı:</u>	Address line 2		
This account is a:	Checking Account S	Savings Account	☐ Brokerage Account		

Total \$ ment to be made: January April July October	Changes to your Automatic Investment Plan must be re scheduled investment in order to be effective for that in		the front of this form no later than 5 business days prior to the next e of change you are making below:
you wish to change from your existing instructions.) Please ADD automatic investment to my account(s) according to the instructions noted below. (Complete all information below.) Fund	Please CANCEL my existing automatic investmen	t plan instructions. (Skip	the rest of section 9.)
Fund			structions noted below. (Complete only the information below that
No. Fund Name 40 Firsthand Alternative Energy Fund \$	Please ADD automatic investment to my account(s) according to the instruc	tions noted below. (Complete all information below.)
40 Firsthand Alternative Energy Fund \$	Fund	Automatic	Please make my automatic investment(s):
Semi-Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually Annually An	No. Fund Name	Investment Amount	
## Firsthand Technology Opportunities Fund \$	40 Firsthand Alternative Energy Fund	\$	
Please select the month(s) in which you would like your investment to be made: January		\$	Semi-Annually
January April July October February May August November December Dec		©	Please select the month(s) in which you would like your investment to be made:
Please select the day(s) on which you would like your investment to be made: February May August November December Dece	1 0 रवा	\$	-
The 1st day of each indicated month The 15th day of each indicated month Both the 1st and the 15th of each indicated month Other: L/We authorize the use of automated cash transfers (wires) to: Name of financial institution Account number City State ZIP Name(s) on account E: Name(s) on account Address line 2	Please select the day(s) on which you would like your	investment to be made:	February May August November
City State ZIP Name(s) on account The state of the state	I/We authorize the use of automated cash transfers (wi	res) to:	
Financial institution's ABA routing number Address line 2	Name of financial institution		Account number
Financial institution's ABA routing number Address line 2	City State	ZIP	Name(s) on account
Financial institution's ABA routing number Address line 2	•-		
This account is a: Checking Account Savings Account Brokerage Account			Address line 2
Signature(s) Joint signatures are required when bank account is in joint names.	Signature(s)	<u> </u>	Brokerage Account
Signature of Depositor EXACTLY as it appears on Financial Institution records Signature of Depositor EXACTLY as it appears on Financial Institution records			

9 - Add/Change/Delete Automatic Investment Plan

PLEASE ATTACH A VOIDED CHECK OR PREPRINTED DEPOSIT SLIP for the account if you are adding automatic investment or changing the account from which your automatic investments are made.

10 - Add Automated Clearing House Network Privilege

	or redemptions via e		ring House (ACH) option to your Firsthand Funds account. This option yeen your bank account and Firsthand Funds. Note: IRA accounts are not		
Enable electronic funds tra	nsfers				
Transfers occur only when you information for the bank account			through the Automated Clearing House (ACH) network. Please provide		
Name of financial institution			Account number		
City	State	ZIP	Name(s) on account		
ı:					
Financial institution's ABA ro	uting number		Address line 2		
This account is a:	king Account [Savings Account	☐ Brokerage Account		
Signature(s) Joint signatures are required w	hen bank account is	in joint names.			
Signature of Depositor			Signature of Depositor		
EXACTLY as it appears on Financial Institution records			EXACTLY as it appears on Financial Institution records		
You must ol	otain a signature gua	rantee (see section 11)	to add the ACH or bank wiring privileges to your account.		

A notary public cannot provide a signature guarantee.

Please attach a voided check with your name and address or a preprinted deposit slip for the account if you are adding the ACH or bank wiring privileges to your account.

11 - Signature(s) (required)

Firsthand Funds, BNY Mellon Investment Servicing, and their r the performance of the acts instructed herein, provided that such			
 If I am signing on behalf of a Trust, Corporation, or Other Entity of the Trust or Transfer Agent, corporate investors and other ass redemptions to ensure proper authorization. 			
Signature of Owner, Custodian, Trustee, or Corporate Officer		Date	
If Trustee or Corporate Officer, Please Print Name and Title		-	
Signature of Joint Owner, Corporate Officer, Etc.		Date	
If Trustee or Corporate Officer, Please Print Name and Title			
SIGNATURE GUARANTEE (if applicable) If you made changes in section 8 and/or completed section 10 of this NOT be accepted.	form, you must ol	btain a signature guarantee. A signature by a notary public wi	ill
Signature guaranteed by:			
	Name of	Bank or Dealer Firm	
PLACE SIGNATURE GUARANTEE STAMPE HERE We only accept STAMP 2000 New Technology Medallion Guarantee stamps.	Signature	e of Officer and Title	

I authorize Firsthand Funds, Firsthand Technology Opportunities Fund, Firsthand Alternative Energy Fund, and their affiliates and agents to act on any instructions believed to be genuine for any service authorized on this form and hereby release Firsthand Capital Management, Inc.,

Return your completed and signed form to:

Firsthand Funds P.O. Box 534444 Pittsburgh, PA 15253-4444

Must be preceded or accompanied by a prospectus. Please read the prospectus carefully before you invest.

Firsthand Funds are distributed by ALPS Distributors, Inc.